

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**Marcus Peinado et al.**

**Serial No.: 09/482,840**

**Group Art Unit: Not Yet Assigned**

**Filing Date: January 13, 2000**

**Examiner: Not Yet Assigned**

**For: METHOD FOR OBTAINING A BLACK BOX FOR PERFORMING  
DECRYPTION AND ENCRYPTION FUNCTIONS IN A DIGITAL RIGHTS  
MANAGEMENT (DRM) SYSTEM (as amended)**

**DATE OF DEPOSIT:** 2-23-2000

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**TYPED NAME: Steven H. Meyer**  
**REGISTRATION NO.: 37,189**

**Assistant Commissioner for Patents  
Washington DC 20231**

**Dear Sir:**

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- ☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
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- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:
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- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☒ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

☒ Copies of references AA-CX listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. 09/290,363, filed April 12, 1999.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

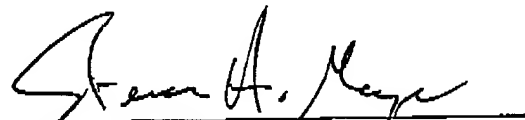
Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date:

2-23-2000

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>MSFT-0109</b>	Serial No. <b>09/482,840</b>
		Applicant <b>Marcus Peinado et al.</b>	
		Filing Date <b>January 13, 2000</b>	Group <b>Not Yet Assigned</b>
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Marcus Peinado et al.

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Marcus Peinado et al.

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	CL	WO 98/09209	03/05/98	PCT	X	

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**Form PTO-1447****MSFT-0109****09/482,840**

List of Patent and Publications  
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**Marcus Peinado et al.**

Filing Date  
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